Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL	OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Sharp Erin S								cker or			ymbol <u>Inc.</u> [ A	(Ch	eck all appli X Directo	cable) or	g Pers	son(s) to Iss	vner				
1	UABOUNT	Y TECHNOLO		C.		3. Date of Earliest Transaction (Month/Day/Year) 12/19/2022										below	(give title		Other (s below)	specify	
2 MILL AND MAIN PLACE, SUITE 395  (Street)  MAYNARD MA 01754					4.1	4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting					
(City)	(S	tate)	(Zip)		-	Person Person											. Cild Hope	9			
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date				Day/Year) i		2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr.			4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)				5. Amou Securitie Benefici Owned I Reporte	es ally Following	Form (D) o	n: Direct r Indirect istr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
										le V		Amount	(A) or (D) Pr		Price	Transac	Transaction(s) (Instr. 3 and 4)			illstr. 4)	
Common Stock <sup>(1)</sup> 12/19/				9/2022	2022			P			1,500	)	A \$0.73		1,500		D				
Common Stock <sup>(2)</sup> 12/19/				9/2022	2			P			130,00	00 A \$		\$0.77	7 130,000		D				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	Date,		ransaction ode (Instr.		mber rative rities ired r osed ) : 3, 4	Expirat	Date Exercisable xpiration Date flonth/Day/Year)			e and 7. Title and Amount of Securities Underlying Derivative Secu (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	Owners Form: Direct (I or Indire (I) (Instr	Ownership	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercis	able		xpiration ate	Title	100	Amount or Number of Shares						
Options to Purchase	\$1.49								05/27/2	023 <sup>(3)</sup>	11	/10/2032	Comm		2,500		2,500		D		

## Explanation of Responses:

- 1. Purchase of 1,500 shares at \$.73 12/19/2022
- 2. Purchase of 130,000 shares at \$.77 12/19/2022
- 3. Options to purchase common stock were granted on 11/10/2022 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and fully vest 05/27/2023

## Remarks:

/s/ David A. Frank, Name:

David A. Frank, attorney-in-

<u>fact</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.