SEC For	rm 4																				
	FORM	4	UNITED	) STA	TE	s s	ECUI			ANI			NGE	CON	IMIS	SSION				///	
Section 16. Form 4 or Form 5 obligations may continue. See					ENT OF CHANGES IN BENEFICIAL OWNERSHIP iled pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940													OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5			
		Reporting Person*			2.1	Issue	Name a	and T	icker o	or Trad	ing S	ymbol				ationship o ck all applic		g Pers	on(s) to Issu	er	
HUBER RICHARD L						<u>AquaBounty Technologies, Inc.</u> [ AQB ]										X Director 10% Owner Officer (give title Other (specify					
(Last) (First) (Middle) C/O AQUABOUNTY TECHNOLOGIES, INC. 2 MILL & MAIN PLACE, SUITE 395						3. Date of Earliest Transaction (Month/Day/Year) 03/12/2020										below) below)					
																6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) MAYNARD MA 01754																X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(S		(Zip)																		
1 Title of	Security (Inst		ble I - Nor	1-Deriv			2A. Dee		· ·	ired,	Dis		f, or Be			/ Owned 5. Amour	nt of	6. Ov	vnership 7	7. Nature of	
				Date (Month/Day/Year)			Execution Date, if any (Month/Day/Year)		ite, /ear)			Disposed Of (D) (Instr. 3 5)		str. 3, 4	4 and	Securities Beneficia Owned Fe Reported	Securities Beneficially Owned Following Reported Transaction(s)		r Indirect I str. 4) (	ndirect Beneficial Ownership (Instr. 4)	
Common Stock									-	Code	v	Amount	(D)	P	rice	(Instr. 3 and 4) 21,311		D			
Table II - Derivat							urities	A c	quir	ed. D	isp	osed of	or Ben	efici	allv		,511 D				
		ï		(e.g., p	outs,		ls, wai	ran	ts, o	ption	is, c	onvertil	ble sec	uritie	s)						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate, Ti	ransa Code (I		of Ex		Expi	Date Exercisable piration Date onth/Day/Year)			nd 7. Title and Am of Securities Underlying Derivative Secu (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				c	code	v	(A)	(D)	Date Exer	cisable		Expiration Date	Title	Amo or Num of Sha	ıber						
Options to Purchase Common Stock	\$9.6 <sup>(1)</sup>								11/0	)1/2011 <sup>(</sup>	2)	11/01/2020	Common Stock	80	0 <sup>(3)</sup>		800		D		
Options to Purchase Common Stock	\$3.3 <sup>(1)</sup>								07/0	)1/2012 <sup>(</sup>	4)	07/01/2021	Common Stock	80	0 <sup>(3)</sup>		800		D		
Options to Purchase Common Stock	\$3.6 <sup>(1)</sup>								07/0	)1/2013 <sup>(</sup>	5)	)7/01/2022	Common Stock	80	0 <sup>(3)</sup>		800		D		
Options to Purchase Common Stock	\$10.5 <sup>(1)</sup>								07/0	)1/2014 <sup>(</sup>	6)	)7/01/2023	Common Stock	80	0 <sup>(3)</sup>		800		D		
Options to Purchase Common Stock	\$10.8 <sup>(1)</sup>								07/0	)1/2015 <sup>(</sup>	7)	07/01/2024	Common Stock	80	0 <sup>(3)</sup>		800		D		
Options to Purchase Common Stock	\$5.7 <sup>(1)</sup>								02/2	:6/2016 <sup>(</sup>	8)	)2/26/2025	Common Stock	2,5	00 <sup>(3)</sup>	2,500		0	D		
Options to Purchase Common Stock	\$9.6 <sup>(1)</sup>								03/0	)1/2017 <sup>(</sup>	9)	)3/01/2026	Common Stock	2,5	00 <sup>(3)</sup>	2,500		0	D		
Options to Purchase Common Stock	\$14.2								02/27	7/2018 <sup>(1</sup>	10)	)4/21/2027	Common Stock	2,5	500		2,50	0	D		
Options to Purchase Common Stock	\$2.5								02/25	7/2019 <sup>(1</sup>	(1)	)2/27/2028	Common Stock	2,5	500		2,50	0	D		
Options to Purchase Common Stock	\$2.11								03/05	5/2020 <sup>(1</sup>	12)	03/05/2029	Common Stock	2,5	500		2,50	0	D		
Options to Purchase Common Stock	\$1.88	03/12/2020			A		2,500		03/12	2/2021 <sup>(1</sup>	13)	)3/12/2030	Common Stock	2,5	500	\$0	2,50	0	D		

## Explanation of Responses:

1. Effective as of 1/5/2017, the Issuer effected a 30-for-1 reverse split of its common stock. The price reflects the reverse stock split.

2. Options to purchase common stock were granted on 11/1/2010 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.

3. Effective as of 1/5/2017, the Issuer effected a 30-for-1 reverse split of its common stock. The number of shares reflects the reverse stock split.

4. Options to purchase common stock were granted on 7/1/2011 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.

5. Options to purchase common stock were granted on 7/1/2012 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.

6. Options to purchase common stock were granted on 7/1/2013 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.

7. Options to purchase common stock were granted on 7/1/2014 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.

8. Options to purchase common stock were granted on 2/26/2015 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested. 9. Options to purchase common stock were granted on 3/1/2016 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.

10. Options to purchase common stock were granted on 4/21/2017 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and have fully vested.

11. Options to purchase common stock were granted on 2/27/2018 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and have fully vested.

12. Options to purchase common stock were granted on 3/5/2019 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and have fully vested.

13. Options to purchase common stock were granted on 3/12/2020 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and fully vest one year after the date of grant. **Remarks:** 

/s/ David A. Frank, Name: David A. Frank, attorney-in-

fact

03/16/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.