FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

washington, D.C. 20

OMB APPROVAL											
	OMB Number:	3235-0287									
Estimated average burden											
ı		0.5									

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

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	nd Address of JAMES (Reporting Person*							icker or Trad echnolog			AQB]		Relationship on the ck all applic X Directo	able)	g Pers	on(s) to Issu 10% Ow	
(Last) (First) (Middle) C/O AQUABOUNTY TECHNOLOGIES, INC. 2 MILL & MAIN PLACE, SUITE 395				08	3/11/2	2020		nsaction (Mo			6.	Officer (give title Other (specify below) 6. Individual or Joint/Group Filing (Check Applicable						
(Street) MAYNARD MA 01754				- -	4. If Amendment, Date of Original Filed (Month/Day/Year)								Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City) (State) (Zip)																		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 6. Ownership 7. Nature of																		
1. Title of Security (Instr. 3) 2. Transa Date (Month/E					2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Transaction Dispo		osed Of (D) (Instr. 3, 4		d Securitie Beneficia Owned F Reported	s ally following I	Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							Code	V	Amount	(A) or (D)	Price		action(s) . 3 and 4)					
Common	Stock			<u></u>		1/2020					12,00		\$2.		16,964		D	
									quired, D ts, option					y Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/Y	ate,	4. Transa Code (8)		of E		Expiration D	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s Illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable		xpiration ate	Title	Amount or Number of Shares					
Options to Purchase Common Stock	\$10.5 ⁽¹⁾								07/01/2014 ⁽²	2) 0	7/01/2023	Common Stock	800 ⁽³⁾		800		D	
Options to Purchase Common Stock	\$10.8 ⁽¹⁾								07/01/2015 ⁽⁻	1) 0	7/01/2024	Common Stock	800 ⁽³⁾		800		D	
Options to Purchase Common Stock	\$5.7 ⁽¹⁾								02/26/2016 ⁽³	5) 0	2/26/2025	Common Stock	2,500 [©]	(3)	2,500)	D	
Options to Purchase Common Stock	\$9.6 ⁽¹⁾								03/01/2017 ⁽⁽	6) 0	3/01/2026	Common Stock	2,500 ⁽³	(3)	2,500)	D	
Options to Purchase Common Stock	\$14.2								02/27/2018 ⁽⁷	" 0	4/21/2027	Common Stock	2,500		2,500)	D	
Options to Purchase Common Stock	\$2.5								02/27/2019 ⁽¹	3) 0	2/27/2028	Common Stock	2,500		2,500)	D	
Options to Purchase Common Stock	\$2.11								03/05/2020 ⁽⁵	0)	3/05/2029	Common Stock	2,500		2,500	0	D	
Options to Purchase Common Stock	\$1.88								03/12/2021 ⁽¹	0) 0	3/12/2030	Common Stock	2,500		2,500)	D	

Explanation of Responses:

- 1. Effective as of 1/5/2017, the Issuer effected a 30-for-1 reverse split of its common stock. The price reflects the reverse stock split.
- 2. Options to purchase common stock were granted on 7/1/2013 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.
- 3. Effective as of 1/5/2017, the Issuer effected a 30-for-1 reverse split of its common stock. The number of shares reflects the reverse stock split.
- 4. Options to purchase common stock were granted on 7/1/2014 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.
- 5. Options to purchase common stock were granted on 2/26/2015 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.
- 6. Options to purchase common stock were granted on 3/1/2016 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.
- 7. Options to purchase common stock were granted on 4/21/2017 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and have fully vested.
- 8. Options to purchase common stock were granted on 2/27/2018 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and have fully vested.
- 9. Options to purchase common stock were granted on 3/5/2019 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and have fully vested.
- 10. Options to purchase common stock were granted on 3/12/2020 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and fully vest one year from the date of grant.

Remarks:

/s/ David A. Frank, Name:

David A. Frank, attorney-in- 08/11/2020

<u>fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.