FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

washington,	D.C.	20549	

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  KIRK RANDAL J						2. Issuer Name and Ticker or Trading Symbol AquaBounty Technologies, Inc. [ AQB ]									Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director X 10% Owner  The state of					
l	RD SECUE	RITY, LLC	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 03/10/2021									Office below	r (give title )		Other ( below)	specify	
(Street)	ROVE AVE		24141		4. II	f Ame	ndment,	Date	of Ori	iginal F	iled	(Month/D	ay/Year)	Lin	e) <mark>X</mark> Form	filed by On	e Rep	g (Check Ap orting Person One Repo	on	
(City)	(Si	ate)	(Zip)												reisc	""				
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date			2. Trans Date (Month/		ar) E	2A. Deemed Execution Date, if any (Month/Day/Year		´   c	ransact Code (In	action Disposed		ities Acquir d Of (D) (Ins		Benefic	es ially Following	Form (D) o	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
						Code V Amount (A) or (D)					Price	Transac	Transaction(s) (Instr. 3 and 4)			(111341. 4)				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day	Date,		ansaction of ode (Instr. Derivative			Expir	6. Date Exercisable and Expiration Date (Month/Day/Year)  7. Title at Amount Securitie Underlyin Derivativ (Instr. 3 a					8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	e s Illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exerc	cisable		opiration	Title	Amount or Number of Shares						
Option to Purchase Common Stock (Right to Buy)	\$6.72	03/10/2021			A		2,500			(1)	03	3/10/2031	Common Stock	2,500	\$0	2,500	)	I	by Spouse <sup>(2)</sup>	

## **Explanation of Responses:**

- 1. This option vests daily over a one-year period beginning the date of grant.
- 2. This option was issued to the Reporting Person's spouse, Alana D. Kirk, in connection with her service on the board of directors of the issuer.

/s/ Randal J. Kirk

03/12/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.