FORM 3

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104
Estimated average burden
hours per response: 0.5

|   |           |   | Filed pursuant | to Section 1  | L6(a) of the Securities Exchange A<br>the Investment Company Act of 19  | act of 1934                            |   |  |   |   |
|---|-----------|---|----------------|---|---|--|---|--|---|---|
| 1. Name and Add   | g Person* | 2. Date of Event<br>Requiring Staten<br>(Month/Day/Year<br>12/30/2016 | nent           | 3. Issuer Name and Ticker or Trading Symbol AquaBounty Technologies, Inc. [ AQB ] |   |  |   |  |   |   |
| (Last) (First) (Middle) C/O THIRD SECURITY, LLC   |           |   |                |   | Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director                               |  |   | 5. If Amendment, Date of Original Filed (Month/Day/Year)   |   |   |
| 1881 GROVE AVENUE  (Street)  RADFORD VA 24141  (City) (State) (Zip)                                     |           |   |                |   | Officer (give title Other (specify below) below)  |  |   | 6. Individual or Joint/Group Filing (Check<br>Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person |   |   |
|   |           |   | Table I - Non  | -Derivat  | ive Securities Beneficiall  | ly Owned                               |   |  |   |   |
| 1. Title of Security (Instr. 4)   |           |   |                |   | 2. Amount of Securities Beneficially Owned (Instr. 4)  3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) |  | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |  |   |   |
| Common Stock  |           |   |                |   | 135,501,904 <sup>(1)</sup>  | I                                      | by In   |  | Intrexon <sup>(2)</sup>                     |   |
|   |           | (   |                |   | e Securities Beneficially<br>nts, options, convertible  |  | s)  |  |   |   |
| 1. Title of Derivative Security (Instr. 4)  2. Date Exerc Expiration Da (Month/Day/Y)  Date Exercisable |           |   |                | cisable and   | 3. Title and Amount of Securities<br>Underlying Derivative Security (Instr. 4)                                  |  | 4.<br>Conversion<br>or Exercise                       |  | 5.<br>Ownership<br>Form:                    | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|   |           |   |                | Expiration<br>Date  | n Title   | Amount<br>or<br>Number<br>of<br>Shares | Price of<br>Derivative<br>Security                    |  | Direct (D)<br>or Indirect<br>(I) (Instr. 5) |   |
| 1. Name and Add   |           | g Person <sup>*</sup>   |                |   |   |  |   |  |   |   |
| (Last) (First) (Middle) C/O THIRD SECURITY, LLC 1881 GROVE AVENUE                                       |           |   |                |   |   |  |   |  |   |   |
| (Street) RADFORD  | VA        | 2414  | 11             |   |   |  |   |  |   |   |

## **Explanation of Responses:**

GERMANTOWN MD

(City)

(Last)

(Street)

(City)

(State)

(First)

(State)

20374 SENECA MEADOWS PARKWAY

1. Name and Address of Reporting Person\*

**INTREXON CORP** 

C/O LEGAL DEPARTMENT

1. The amount of securities beneficially owned by Intrexon Corporation ("Intrexon") does not reflect the 1:30 reverse stock split announced by the issuer and effective January 5, 2017. Following the 1:30 reverse stock split, Intrexon will own 4,516,730 shares.

2. Randal J. Kirk, directly and through certain affiliates, has voting and dispositive power over a majority of the outstanding capital stock of Intrexon. Mr. Kirk may therefore be deemed to have voting and dispositive power over the shares of the issuer owned by Intrexon. Shares held by Intrexon may be deemed to be indirectly beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

/s/ Randal J. Kirk /s/ Randal J. Kirk, CEO of Intrexon Corporation 01/05/2017

01/05/2017

\*\* Signature of Reporting Person Date

(Zip)

(Middle)

20876

(Zip)

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.