FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

washington, D.C. 20

OMB APPROVAL									
OMB Number:	3235-0287								
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0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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1. Name and Address of Reporting Person* TURK JAMES C JR					2. Issuer Name and Ticker or Trading Symbol AquaBounty Technologies, Inc. [AQB]									ationship of k all applica Director	able)	ng Person(s) to Issuer			
(Last) (First) (Middle) C/O AQUABOUNTY TECHNOLOGIES, INC. 2 MILL & MAIN PLACE, SUITE 395					3. Date of Earliest Transaction (Month/Day/Year) 03/12/2020									Officer (below)	give title		Other (s below)	pecify	
(Street) MAYNARD MA 01754					4.	Line) X Form fil										oint/Group Filing (Check Applicable led by One Reporting Person led by More than One Reporting			
(City)	(S	itate)	(Zip)											Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)		- 1	2A. Deemed Execution Date, if any (Month/Day/Year)		Code (3. Transaction Code (Instr.		4. Securities Acquired (ADisposed Of (D) (Instr. 35)			5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock						Code	V	Amount	(A) or (D) Pri		ce	Transaction(s) (Instr. 3 and 4) 4,964			D.				
Common	Stock														4,9	04		D	
			Table II -						quired, D ts, option						wned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate, 1		nsaction of Exp			Expiration D	piration Date			d Amou ies g Securi nd 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				ı	Code	v	(A)	(D)	Date Exercisable		expiration Date	Title	Amou or Numb of Share	er					
Options to Purchase Common Stock	\$10.5 ⁽¹⁾								07/01/2014 ⁽	2) 0	7/01/2023	Common Stock	800	(3)		800		D	
Options to Purchase Common Stock	\$10.8 ⁽¹⁾								07/01/2015 ⁽	4) 0	7/01/2024	Common Stock	800	(3)		800		D	
Options to Purchase Common Stock	\$5.7 ⁽¹⁾								02/26/2016 ⁽	5) 0	2/26/2025	Common Stock	2,50	0(3)		2,500)	D	
Options to Purchase Common Stock	\$9.6 ⁽¹⁾								03/01/2017 ⁽	6) 0	3/01/2026	Common Stock	2,50	0(3)		2,500)	D	
Options to Purchase Common Stock	\$14.2								02/27/2018 ⁽	7) 0	4/21/2027	Common Stock	2,50	00		2,500)	D	
Options to Purchase Common Stock	\$2.5								02/27/2019 ⁽	B) 0	2/27/2028	Common Stock	2,50	00		2,500		D	

03/05/2020⁽⁹⁾

03/12/2021⁽¹⁰⁾

03/05/2029

03/12/2030

2,500

2,500

\$<mark>0</mark>

Stock

Common

Stock

2,500

2,500

D

D

Explanation of Responses:

\$2.11

\$1.88

03/12/2020

Options to Purchase

Common

Common Stock

Options to Purchase

- 1. Effective as of 1/5/2017, the Issuer effected a 30-for-1 reverse split of its common stock. The price reflects the reverse stock split.
- 2. Options to purchase common stock were granted on 7/1/2013 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.
- 3. Effective as of 1/5/2017, the Issuer effected a 30-for-1 reverse split of its common stock. The number of shares reflects the reverse stock split.

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- 4. Options to purchase common stock were granted on 7/1/2014 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.
- 5. Options to purchase common stock were granted on 2/26/2015 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.
- 6. Options to purchase common stock were granted on 3/1/2016 pursuant to the AquaBounty Technologies, Inc. 2006 Equity Incentive Plan and have fully vested.
- 7. Options to purchase common stock were granted on 4/21/2017 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and have fully vested.
- $8.\ Options\ to\ purchase\ common\ stock\ were\ granted\ on\ 2/27/2018\ pursuant\ to\ the\ AquaBounty\ Technologies,\ Inc.\ 2016\ Equity\ Incentive\ Plan\ and\ have\ fully\ vested.$
- 9. Options to purchase common stock were granted on 3/5/2019 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and have fully vested.

2,500

10. Options to purchase common stock were granted on 3/12/2020 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and fully vest one year after the date of grant.

Remarks:

/s/ David A. Frank, Name: David A. Frank, attorney-in-

** Signature of Reporting Person

<u>fact</u>

03/16/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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