SEC For	m 4 FORM	4	UNITE	) STA	ATES	S	ECUF	RITI	ES AND	EXCI	HAI	NGE C	OMN	IISSION	J				
Check	UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP													OMB APPROVAL OMB Number: 3235-0287 Estimated average burden					
Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).				File					(a) of the Secu e Investment C		934					0.5			
1. Name and Address of Reporting Person* FRANK DAVID A						2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>AquaBounty Technologies, Inc.</u> [ AQB ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) (First) (Middle) C/O AQUABOUNTY TECHNOLOGIES, I						3. Date of Earliest Transaction (Month/Day/Year) 06/14/2023								A below	,	Other ( below) I Officer	specify		
2 MILL & MAIN PLACE, SUITE 395					4. lf	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) MAYNA	01754													y One Reporting Person y More than One Reporting					
(City) (State) (Zip)					Rule 10b5-1(c) Transaction Indication														
		Tab	le I - Nor	n-Deriv	vative				e defense condi						ed				
1. Title of Security (Instr. 3)     2. Trans Date (Month/II)					ar)	2A. Deemed Execution Date if any (Month/Day/Ye		Code (Inst	on Disp			ed (A) o str. 3, 4 a	4 and Securities Beneficial Owned Fo		Fori (D)	m: Direct or Indirect Instr. 4)	7. Nature of Indirect Beneficial Ownership		
								Code \	/ Am	ount	(A) or (D)	r Pric	rice Reported Transactio (Instr. 3 an				(Instr. 4)		
		1							quired, Dis s, options,						l				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution I if any (Month/Day	Date,	4. Transac Code (Ir 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable a Expiration Date (Month/Day/Year)		d	7. Title an Amount o Securities Underlyin Derivative (Instr. 3 ar	f g Securit	8. Price of Derivativ Security (Instr. 5)	e derivati Securiti Benefic Owned Followi Reporte	ative rities ficially d wing rted action(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisable	Expirat Date	tion	Title	Amour or Numbe of Shares						
Options to Purchase	\$0.36	06/14/2022			٨		70.269		02/00/2020(1)	02/00/7	022	Common	70.26	00.00	70	1 260			

Explanation of Responses:

\$0.36

1. Options to purchase common stock were issued 06/14/2023, with a vest start date of 03/09/2023, pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan and vest daily over three years from the date of grant

03/09/2026<sup>(1)</sup> 03/09/2033

70,269

## **Remarks:**

Common Stock

/s/ David A. Frank, Name: David A. Frank

Stock

06/16/2023

70,269

D

\*\* Signature of Reporting Person Date

70,269

\$0.00

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

06/14/2023

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.