# SECURITIES AND EXCHANGE COMMISSION
# Washington, D.C. 20549

## SCHEDULE 13D

Under the Securities Exchange Act of 1934

**AQUABOUNTY TECHNOLOGIES INC**

**(Name of Issuer)**

**Common Stock, par value $0.001 per share**

**(Title of Class of Securities)**

**(CUSIP Number)**

**Univest Securities LLC**
**75 Rockefeller Plaza, Suite 25A,**
**New York, NY, 10019**
**(914) 714-8615**

**(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)**

**04/07/2026**

**(Date of Event Which Requires Filing of This Statement)**

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§ 240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box. ☐

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

SCHEDULE 13D

**CUSIP No.**

| | |
|---|---|
| 1 | Name of reporting person<br>Univest Securities LLC |
| 2 | Check the appropriate box if a member of a Group (See Instructions)<br>☐ (a)<br>☐ (b) |
| 3 | SEC use only |

| | | |
|---|---|---|
| 4 | Source of funds (See Instructions) | |
| | WC | |
| 5 | Check if disclosure of legal proceedings is required pursuant to Items 2(d) or 2(e) | |
| | ☐ | |
| 6 | Citizenship or place of organization | |
| | NEW YORK | |
| Number of Shares Beneficially Owned by Each Reporting Person With: | 7 | Sole Voting Power |
| | | 0.00 |
| | 8 | Shared Voting Power |
| | | 1,422,472.00 |
| | 9 | Sole Dispositive Power |
| | | 0.00 |
| | 10 | Shared Dispositive Power |
| | | 1,422,472.00 |
| 11 | Aggregate amount beneficially owned by each reporting person | |
| | 1,422,472.00 | |
| 12 | Check if the aggregate amount in Row (11) excludes certain shares (See Instructions) | |
| | ☐ | |
| 13 | Percent of class represented by amount in Row (11) | |
| | 10.7 % | |
| 14 | Type of Reporting Person (See Instructions) | |
| | BD | |

**Comment for Type of Reporting Person:** The percentage ownership of shares of common stock, par value $0.001 per share (the "Common Stock"), of AquaBounty Technologies, Inc., a Delaware corporation (the "Issuer"), set forth in this Schedule 13D is based upon 13,291,953 shares of Common Stock issued and outstanding as of November 5, 2026, as reported by the Issuer in its Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on November 6, 2026.

SCHEDULE 13D

**CUSIP No.**

| | | |
|---|---|---|
| 1 | Name of reporting person | |
| | Univest Group, Inc. | |
| 2 | Check the appropriate box if a member of a Group (See Instructions) | |
| | ☐ (a)<br>☐ (b) | |
| 3 | SEC use only | |
| 4 | Source of funds (See Instructions) | |
| | OO | |
| 5 | Check if disclosure of legal proceedings is required pursuant to Items 2(d) or 2(e) | |
| | ☐ | |
| 6 | Citizenship or place of organization | |
| | NEVADA | |

| | | |
|---|---|---|
| Number of Shares Beneficially Owned by Each Reporting Person With: | 7 | Sole Voting Power<br>0.00 |
| | 8 | Shared Voting Power<br>1,422,472.00 |
| | 9 | Sole Dispositive Power<br>0.00 |
| | 10 | Shared Dispositive Power<br>1,422,472.00 |
| 11 | | Aggregate amount beneficially owned by each reporting person<br>1,422,472.00 |
| 12 | | Check if the aggregate amount in Row (11) excludes certain shares (See Instructions)<br>☐ |
| 13 | | Percent of class represented by amount in Row (11)<br>10.7 % |
| 14 | | Type of Reporting Person (See Instructions)<br>CO |

**Comment for Type of Reporting Person:** The percentage ownership set forth herein is based on 13,291,953 shares of Common Stock issued and outstanding as of November 5, 2026, as reported by the Issuer in its Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on November 6, 2026.

SCHEDULE 13D

**CUSIP No.**

| | | |
|---|---|---|
| 1 | | Name of reporting person<br>Bradley Richmond |
| 2 | | Check the appropriate box if a member of a Group (See Instructions)<br>☐ (a)<br>☐ (b) |
| 3 | | SEC use only |
| 4 | | Source of funds (See Instructions)<br>PF |
| 5 | | Check if disclosure of legal proceedings is required pursuant to Items 2(d) or 2(e)<br>☐ |
| 6 | | Citizenship or place of organization<br>UNITED STATES |
| Number of Shares Beneficially Owned by Each Reporting Person With: | 7 | Sole Voting Power<br>735,973.00 |
| | 8 | Shared Voting Power<br>0.00 |
| | 9 | Sole Dispositive Power<br>735,973.00 |

10 Shared Dispositive Power

0.00

11 Aggregate amount beneficially owned by each reporting person

735,973.00

12 Check if the aggregate amount in Row (11) excludes certain shares (See Instructions)

☐

13 Percent of class represented by amount in Row (11)

5.5 %

14 Type of Reporting Person (See Instructions)

IN

**Comment for Type of Reporting Person:** The percentage ownership set forth herein is based on 13,291,953 shares of Common Stock issued and outstanding as of November 5, 2026, as reported by the Issuer in its Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on November 6, 2026.

SCHEDULE 13D

**CUSIP No.**

1 Name of reporting person

Yi (Edric) Guo

2 Check the appropriate box if a member of a Group (See Instructions)

☐ (a)
☐ (b)

3 SEC use only

4 Source of funds (See Instructions)

WC

5 Check if disclosure of legal proceedings is required pursuant to Items 2(d) or 2(e)

☐

6 Citizenship or place of organization

UNITED STATES

Number of Shares Beneficially Owned by Each Reporting Person With:

7 Sole Voting Power

0.00

8 Shared Voting Power

1,422,472.00

9 Sole Dispositive Power

0.00

10 Shared Dispositive Power

1,422,472.00

11 Aggregate amount beneficially owned by each reporting person

1,422,472.00

12 Check if the aggregate amount in Row (11) excludes certain shares (See Instructions)

☐

| | | |
|---|---|---|
| 13 | Percent of class represented by amount in Row (11) | |
| | 10.7 % | |
| | Type of Reporting Person (See Instructions) | |
| 14 | IN | |

**Comment for Type of Reporting Person:** The percentage ownership set forth herein is based on 13,291,953 shares of Common Stock issued and outstanding as of November 5, 2026, as reported by the Issuer in its Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on November 6, 2026.

## SCHEDULE 13D

| | | |
|---|---|---|
| Item 1. | Security and Issuer | |
| (a) | Title of Class of Securities: | |
| | Common Stock, par value $0.001 per share | |
| (b) | Name of Issuer: | |
| | AQUABOUNTY TECHNOLOGIES INC | |
| (c) | Address of Issuer's Principal Executive Offices: | |
| | 233 Ayer Road, Suite 4, Harvard, MASSACHUSETTS , 01451. | |

**Item 1 Comment:** This Schedule 13D (this "Schedule 13D") relates to the common stock, par value $0.001 per share (the "Common Stock"), of AquaBounty Technologies, Inc., a Delaware corporation (the "Issuer"). The principal executive office of the Issuer is located at 233 Ayer Road, Suite 4, Harvard, Massachusetts 01451.

| | |
|---|---|
| Item 2. | Identity and Background |
| (a) | (i) Univest Securities LLC, a New York limited liability company and registered broker-dealer ("USLLC"), (ii) Univest Group, Inc., a Nevada corporation and the owner of all of the outstanding equity interests of USLLC ("UGI"), (iii) Bradley Richmond, a United States citizen, and (iv) Yi (Edric) Guo, a United States citizen (collectively, the "Reporting Persons"). |
| (b) | The principal business office address of each Reporting Person is 75 Rockefeller Plaza, Suite 25A, New York, New York 10019. |
| (c) | USLLC is a registered broker-dealer. UGI is a holding company and owns all of the outstanding equity interests of USLLC. Mr. Richmond's principal occupation is serving as the Chief Operating Officer and Co-Head of Investment Banking of USLLC. Mr. Guo's principal occupation is serving as the Chief Executive Officer and Co-Head of Investment Banking of USLLC. Mr. Guo also serves as the President and Chief Executive Officer and a director of UGI, and Mr. Richmond serves as the Chief Operating Officer and Secretary and a director of UGI. Xinyue Fan serves as the Chief Financial Officer, Treasurer and a director of UGI. Mr. Guo, Mr. Richmond and Ms. Fan are the only directors and executive officers of UGI. The present principal occupation or employment of Ms. Fan is Chief Financial Officer. |
| (d) | During the last five years, none of the Reporting Persons, and to the Reporting Persons' knowledge, Xinyue Fan, has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors). |
| (e) | During the last five years, none of the Reporting Persons, nor, to the Reporting Persons' knowledge, Xinyue Fan, has been a party to a civil proceeding of a judicial or administrative body of competent jurisdiction and as a result of such proceeding was or is subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities laws or finding any violation with respect to such laws. |
| (f) | Mr. Richmond is a citizen of the United States. Mr. Guo is a citizen of the United States. USLLC is organized under the laws of New York. UGI is incorporated under the laws of the State of Nevada. Xinyue Fan is a citizen of China. |
| Item 3. | Source and Amount of Funds or Other Consideration |
| | On October 28, 2025, the Issuer entered into separate note purchase agreements with each of USLLC and Mr. Richmond, pursuant to which, on such date, the Issuer issued and sold to USLLC and Mr. Richmond senior promissory notes in aggregate principal amounts of $1,250,000 and $225,000, respectively (the "Notes"), for purchase prices of $1,250,000 and $225,000, respectively, which were paid using USLLC's working capital and Mr. Richmond's personal funds, respectively. On April 7, 2026, the Issuer entered into separate securities exchange agreements with each of USLLC and Mr. Richmond (collectively, the "Preferred Stock Exchange Agreements"), pursuant to which, on such date, the Issuer issued to USLLC and Mr. Richmond 65,001 and 13,296 shares, respectively, of the Issuer's Series A Convertible Preferred Stock, par value $0.01 per share (the "Series A Preferred Stock"), in exchange for the Notes held by them, including accrued and unpaid interest thereon. On October 7, 2026, the Issuer entered into separate conversion agreements with each of USLLC and Mr. Richmond (collectively, the "Conversion Agreements"), pursuant to which all shares of Series A Preferred Stock held by USLLC and Mr. |

Richmond, together with accrued and accumulated dividends thereon through October 15, 2026, would automatically convert into shares of Common Stock at a conversion price of $0.9129 per share on the earlier of (i) the date on which the Issuer's Registration Statement on Form S-3 relating to the resale of such shares is declared effective by the SEC and (ii) October 30, 2026. The Registration Statement was declared effective on October 23, 2026, and, on such date, the Issuer issued 1,422,472 shares of Common Stock to USLLC and 258,624 shares of Common Stock to Mr. Richmond upon the automatic conversion of the shares of Series A Preferred Stock held by them. From April 10, 2026 to August 4, 2026, 2026, as set forth in Schedule A, Mr. Richmond also acquired 477,349 shares of Common Stock in open-market transactions using personal funds.

Item 4. Purpose of Transaction

The Reporting Persons acquired the shares of Series A Preferred Stock and shares of Common Stock reported herein for investment purposes. The information contained in Item 3 of this Schedule 13D is incorporated herein by reference. The Reporting Persons have engaged, and expect to continue to engage, in discussions with representatives of the Issuer and other persons concerning the Issuer's business, operations, governance, capitalization and strategic direction, including a potential business combination transaction pursuant to the LOI as described below. Such discussions and any related transactions may involve one or more of the matters described in Item 4(a) through (j) of Schedule 13D. The Issuer and USLLC were parties to (i) a Placement Agency Agreement, dated October 28, 2025, pursuant to which USLLC acted as exclusive placement agent in connection with the Issuer's offering of senior notes, (ii) a Placement Agency Agreement, dated April 7, 2026, pursuant to which USLLC acted as exclusive placement agent in connection with the Issuer's exchange of certain outstanding notes for shares of Series A Convertible Preferred Stock and (iii) a Placement Agency Agreement, dated June 25, 2026, pursuant to which USLLC acted as placement agent in connection with the Issuer's private placement of shares of Series B Convertible Preferred Stock (collectively, the "Placement Agency Agreements"). The Placement Agency Agreements provided USLLC with certain rights of first refusal and other continuing exclusivity, participation, advisory, notice, matching and similar rights. On November 13, 2026, the Issuer and USLLC entered into a Termination Agreement (the "Termination Agreement"), pursuant to which, effective as of such date, the Placement Agency Agreements were terminated and such continuing rights were waived. The Termination Agreement further provides that USLLC will not be deemed to be acting as placement agent, broker, financial adviser or investment banker to the Issuer in connection with any current or future transaction. All rights to future fees, commissions and expense reimbursement under the Placement Agency Agreements were also terminated, other than fees actually earned and payable and expenses actually incurred and reimbursable through November 13, 2026. The confidentiality, indemnification and contribution provisions of the Placement Agency Agreements survived solely with respect to services performed and matters arising through November 13, 2026. On November 10, 2026, the Issuer and UGI, the owner of all of the outstanding equity interests of USLLC, entered into a letter of intent (the "LOI") relating to a proposed business combination pursuant to which UGI would become a wholly owned subsidiary of the Issuer and the stockholders of UGI would receive shares of convertible preferred stock of the Issuer in a private placement (the "Proposed Transaction"), with the number of shares to be issued and the conversion price to be negotiated by the parties. Immediately following the closing of the Proposed Transaction, UGI's stockholders are expected to own approximately 90% of the Issuer's post-closing issued and outstanding Common Stock, and the Issuer's pre-transaction stockholders are expected to own approximately 10% of the Issuer's post-closing issued and outstanding Common Stock, in each case on an as-converted and fully diluted basis and subject to adjustment based on the final transaction terms. The LOI further contemplates that, effective upon the closing of the Proposed Transaction, the Issuer's existing management would be replaced by individuals designated by UGI, subject to a potential transition period, and the Issuer's board of directors would consist of five members, three of whom would be designated by UGI and two of whom would be continuing directors of the Issuer or individuals designated by the Issuer. The Proposed Transaction remains subject to, among other things, completion of due diligence, negotiation and execution of definitive agreements, approval by the boards of directors of the Issuer and UGI, and receipt of applicable governmental, regulatory, stockholder and third-party approvals and consents. There can be no assurance that definitive agreements will be entered into, what the final terms or structure of the Proposed Transaction will be, or whether the Proposed Transaction or the related changes to the Issuer's board of directors and management will be completed. The Reporting Persons intend to review their investment in the Issuer on a continuing basis. Depending on various factors, including the outcome of the foregoing discussions, the Issuer's business and financial position, market conditions and other developments, the Reporting Persons may acquire additional securities of the Issuer, dispose of securities of the Issuer, engage in further discussions with the Issuer or other persons, or consider, propose or support one or more actions relating to the matters described in Item 4(a) through (j) of Schedule 13D.

Item 5. Interest in Securities of the Issuer

(a) Based on 13,291,953 shares of Common Stock issued and outstanding as of November 5, 2026, as reported by the Issuer in its Quarterly Report on Form 10-Q, USLLC directly beneficially owns 1,422,472 shares of Common Stock, representing approximately 10.7% of the issued and outstanding Common Stock, and Mr. Richmond directly beneficially owns 735,973 shares of Common Stock, representing approximately 5.5% of the issued and outstanding Common Stock. UGI owns all of the outstanding equity interests of USLLC and, as a result, may be deemed to beneficially own the 1,422,472 shares of Common Stock held directly by USLLC. Mr. Guo is the Chief Executive Officer of USLLC and owns approximately 48.6% of UGI's outstanding Class A Common Stock. As a result of these relationships, Mr. Guo may also be deemed to beneficially own the 1,422,472 shares of Common Stock held directly by USLLC. UGI and Mr. Guo each disclaim beneficial ownership of such shares except to the extent of its or his pecuniary interest therein, if any. Xinyue Fan does not beneficially own any shares of Common Stock. The Reporting Persons may be deemed to constitute a "group" within the meaning of Section 13(d)(3) of the Exchange Act. The Reporting Persons, as a group, may be deemed to beneficially own an aggregate of 2,158,445 shares of Common

Stock, representing approximately 16.2% of the outstanding Common Stock. Each Reporting Person disclaims beneficial ownership of the shares beneficially owned by any other Reporting Person, except with respect to the shares held directly by USLLC that may be deemed to be beneficially owned by UGI and Mr. Guo and, in each case, only to the extent of such Reporting Person's pecuniary interest therein, if any.

(b) USLLC Sole voting power: 0 Shared voting power: 1,422,472 Sole dispositive power: 0 Shared dispositive power: 1,422,472 UGI Sole voting power: 0 Shared voting power: 1,422,472 Sole dispositive power: 0 Shared dispositive power: 1,422,472 Mr. Guo Sole voting power: 0 Shared voting power: 1,422,472 Sole dispositive power: 0 Shared dispositive power: 1,422,472 Mr. Richmond Sole voting power: 735,973 Shared voting power: 0 Sole dispositive power: 735,973 Shared dispositive power: 0 Xinyue Fan Sole voting power: 0 Shared voting power: 0 Sole dispositive power: 0 Shared dispositive power: 0

(c) The transactions in securities of the Issuer effected by the Reporting Persons since April 7, 2026, the date of the event requiring the filing of this Schedule 13D, are set forth on Schedule A hereto and are incorporated herein by reference. Except as set forth on Schedule A, none of the Reporting Persons has effected any transaction in the Common Stock since April 7, 2026.

(d) No person other than the applicable Reporting Person is known to have the right to receive, or the power to direct the receipt of, dividends from, or proceeds from the sale of, the shares of Common Stock beneficially owned by such Reporting Person.

(e) Not applicable

Item 6. Contracts, Arrangements, Understandings or Relationships With Respect to Securities of the Issuer

The information set forth in Items 3 and 4 is incorporated herein by reference. The Reporting Persons have agreed to coordinate the voting of the shares of Common Stock beneficially owned by them. Except for the foregoing, the Joint Filing Agreement and the agreements described in Items 3 and 4, there are no contracts, arrangements, understandings or relationships among the Reporting Persons with respect to the acquisition, holding, voting or disposition of securities of the Issuer.

Item 7. Material to be Filed as Exhibits.

99.1 Form of Note Purchase Agreement, dated October 28, 2025, by and between AquaBounty Technologies, Inc. and each investor, including the form of Senior Note and schedule of investors, incorporated by reference to Exhibit 10.1 to the Issuer's Current Report on Form 8-K filed October 28, 2025. 99.2 Form of Securities Exchange Agreement, dated April 7, 2026, between AquaBounty Technologies, Inc. and the investors named therein, incorporated by reference to Exhibit 10.1 to the Issuer's Current Report on Form 8-K filed April 8, 2026. 99.3 Conversion Agreement, dated October 7, 2026, by and between AquaBounty Technologies, Inc. and Bradley Richmond, incorporated by reference to Exhibit 10.1 to the Issuer's Registration Statement on Form S-3 filed October 13, 2026. 99.4 Conversion Agreement, dated October 7, 2026, by and between AquaBounty Technologies, Inc. and Bradley Richmond, incorporated by reference to Exhibit 10.1 to the Issuer's Registration Statement on Form S-3 filed October 13, 2026. 99.5 Conversion Agreement, dated October 7, 2026, by and between AquaBounty Technologies, Inc. and Univest Securities LLC, incorporated by reference to Exhibit 10.2 to the Issuer's Registration Statement on Form S-3 filed October 13, 2026. 99.6 Termination Agreement, dated November 13, 2026, by and between AquaBounty Technologies, Inc. and Univest Securities LLC, incorporated by reference to Exhibit 10.1 to the Issuer's Current Report on Form 8-K filed November 16, 2026. 99.7 Letter of Intent, dated November 10, 2026, by and between AquaBounty Technologies, Inc. and Univest Group, Inc., incorporated by reference to Exhibit 99.1 to the Issuer's Current Report on Form 8-K filed November 16, 2026 99.8 Joint Filing Agreement, dated November 16, 2026, by and among Univest Securities LLC, UGI, Bradley Richmond and Yi (Edric) Guo.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Univest Securities LLC

Signature: /s/ Yi (Edric) Guo
Name/Title: Yi (Edric) Guo, Chief Executive Officer
Date: 11/16/2026

Univest Group, Inc.

Signature: /s/ Yi (Edric) Guo
Name/Title: Yi (Edric) Guo, Chief Executive Officer
Date: 11/16/2026

Bradley Richmond

Signature: /s/ Bradley Richmond
Name/Title: Bradley Richmond
Date: 11/16/2026

Yi (Edric) Guo

Signature: /s/ Yi (Edric) Guo
Name/Title: Yi (Edric) Guo
Date: 11/16/2026

**EXHIBIT 99.10**

**JOINT FILING AGREEMENT**
**PURSUANT TO RULE 13d-1(k)**

The undersigned acknowledge and agree that the foregoing statement on Schedule 13D is filed on behalf of each of the undersigned and that all subsequent amendments to this statement on Schedule 13D, including on Schedule 13G, shall be filed on behalf of each of the undersigned without the necessity of filing additional joint filing agreements. The undersigned acknowledge that each shall be responsible for the timely filing of such amendments, and for the completeness and accuracy of the information concerning him or it contained herein and therein, but shall not be responsible for the completeness and accuracy of the information concerning the others, except to the extent that he or it knows or has reason to believe that such information is inaccurate.

Dated: November 16, 2026

UNIVEST SECURITIES LLC

By: /s/ Yi (Edric) Guo
Name: Yi (Edric) Guo
Title: Chief Executive Officer

UNIVEST GROUP INC.

By: /s/ Yi (Edric) Guo
Name: Yi (Edric) Guo
Title: Chief Executive Officer

BRADLEY RICHMOND

/s/ Bradley Richmond
Name: Bradley Richmond

YI (EDRIC) GUO

/s/ Yi (Edric) Guo
Name: Yi (Edric) Guo

**SCHEDULE A**

**TRANSACTIONS IN COMMON STOCK SINCE APRIL 7, 2026**

| Reporting Person | Date | Transaction | Securities Acquired | Price / Consideration |
|---|---|---|---|---|
| Bradley Richmond | April 7, 2026 | Acquisition of Series A Convertible Preferred Stock in a privately negotiated exchange pursuant to the Securities Exchange Agreement | 13,296 shares of Series A Convertible Preferred Stock | Cancellation of senior notes having an aggregate principal amount of $225,000, plus accrued and unpaid interest |
| Univest Securities LLC | April 7, 2026 | Acquisition of Series A Convertible Preferred Stock in a privately negotiated exchange pursuant to the Securities Exchange Agreement | 65,001 shares of Series A Convertible Preferred Stock | Cancellation of senior notes having an aggregate principal amount of $1,250,000, plus accrued and unpaid interest |
| Bradley Richmond | October 23, 2026 | Acquisition of Common Stock upon the automatic conversion of Series A Convertible Preferred Stock, including accrued and accumulated dividends thereon, pursuant to the Conversion Agreement | 258,624 shares of Common Stock | Conversion price of $0.9129 per share |
| Univest Securities LLC | October 23, 2026 | Acquisition of Common Stock upon the automatic conversion of Series A Convertible Preferred Stock, including accrued and accumulated dividends thereon, pursuant to the Conversion Agreement | 1,422,472 shares of Common Stock | Conversion price of $0.9129 per share |
| Bradley Richmond | April 10, 2026 | Open-market purchase | 1,895 shares of Common Stock | $0.9246 |
| Bradley Richmond | April 22, 2026 | Open-market purchase | 5,000 shares of Common Stock | $0.9700 |
| Bradley Richmond | April 23, 2026 | Open-market purchase | 6,000 shares of Common Stock | $0.9816 |
| Bradley Richmond | May 4, 2026 | Open-market purchase | 4,299 shares of Common Stock | $0.9300 |
| Bradley Richmond | May 7, 2026 | Open-market purchase | 1 share of Common Stock | $0.9400 |
| Bradley Richmond | May 8, 2026 | Open-market purchase | 5,000 shares of Common Stock | $0.9400 |
| Bradley Richmond | May 11, 2026 | Open-market purchase | 6,358 shares of Common Stock | $0.8879 |
| Bradley Richmond | May 12, 2026 | Open-market purchase | 5,264 shares of Common Stock | $0.8747 |
| Bradley Richmond | May 13, 2026 | Open-market purchase | 2,480 shares of Common Stock | $0.8700 |
| Bradley Richmond | May 14, 2026 | Open-market purchase | 5,003 shares of Common Stock | $0.8972 |
| Bradley Richmond | May 15, 2026 | Open-market purchase | 10,189 shares of Common Stock | $0.9036 |
| Bradley Richmond | May 18, 2026 | Open-market purchase | 11,322 shares of Common Stock | $0.8946 |
| Bradley Richmond | May 19, 2026 | Open-market purchase | 14,386 shares of Common Stock | $0.9329 |
| Bradley Richmond | May 19, 2026 | Open-market sale | (525) shares of Common Stock | $1.0708 |
| Bradley Richmond | May 22, 2026 | Open-market purchase | 20,000 shares of Common Stock | $0.9822 |
| Bradley Richmond | July 9, 2026 | Open-market purchase | 10,000 shares of Common Stock | $0.9200 |

| | | | | |
|---|---|---|---|---|
| Bradley Richmond | July 29, 2026 | Open-market purchase | 20,000 shares of Common Stock | $0.9193 |
| Bradley Richmond | July 30, 2026 | Open-market purchase | 5,000 shares of Common Stock | $0.9300 |
| Bradley Richmond | August 4, 2026 | Open-market purchase | 5,000 shares of Common Stock | $0.9100 |