SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-028									
Estimated average burden									
hours per response:	0.5								

<ul> <li>Obligations ma Instruction 1(b)</li> </ul>	ay continue. See		Filed pursuant to Section 16(a) of the Securities Exchange Act of 193		hours per response: 0.5			
	,		or Section 30(h) of the Investment Company Act of 1940	•				
1. Name and Add		0	2. Issuer Name <b>and</b> Ticker or Trading Symbol AquaBounty Technologies, Inc. [ AQB ]		ationship of Re k all applicable	Reporting Person(s) to Issuer ble)		
HUBER RI	<u>CHARD L</u>		<u></u>	X	Director		10% Owner	
		(Middle) HNOLOGIES, INC.	3. Date of Earliest Transaction (Month/Day/Year) 12/14/2020		Officer (give below)	e title	Other (speci below)	fy
2 MILL & MAIN PLACE, SUITE 395			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint	int/Group Filing (Check Applicable		
(Street)				X	Form filed by One Reporting Pers			
MAYNARD	MA	01754			Form filed b Person	by More that	n One Reporting	J
(City)	(State)	(Zip)						
		Table I - Non-De	rivative Securities Acquired. Disposed of, or Bene	ficially	/ Owned			

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						Securities Beneficially	(D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	12/14/2020		Р		10,000	Α	\$6.5	71,311	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Nur of Deriva Secur Acqui (A) or Dispo of (D) (Instr. and 5	ative rities red sed 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ite	7. Title Amou Secur Under Deriva Secur 3 and	int of ities rlying ative ity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
											Amount or				

Date Exercisable

Expiration Date

## Explanation of Responses:

**Remarks:** 

## /s/ David A. Frank, Name:

Number

of Shares

12/18/2020 David A. Frank, attorney-in-

<u>fact</u>

Title

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

(A) (D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.