FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(h)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* Clothier Richard J						2. Issuer Name and Ticker or Trading Symbol AquaBounty Technologies, Inc. [AQB]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Giodifei Melidiu 3															Direc		10% Owne			
(Last) (First) (Middle) C/O AQUABOUNTY TECHNOLOGIES, INC.						3. Date of Earliest Transaction (Month/Day/Year) 03/10/2021									Office below	er (give title v)		Other (s	specify	
2 MILL & MAIN PLACE, SUITE 395						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable					
(Street)															Line)					
MAYNARD MA 01754																X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(St	ate) (Z	Zip)																	
		Table	I - Nor	n-Deriva	tive S	Secu	rities	Acq	uired,	Disp	osed of	, or E	Bene	ficial	y Own	ed				
1. Title of Security (Instr. 3) 2. Transac Date (Month/Date)						Exe if ar	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)					4 and Securities Beneficially Owned Followin		ties cially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(A) (D)	or F	rice	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Common Stock															36	,999 ⁽¹⁾	I			
Common Stock															1,751 ⁽²⁾		I	D		
Common Stock												\perp			11,151 ⁽³⁾		D			
Common Stock														12,473 ⁽⁴⁾		D				
Common Stock													13		3,509 ⁽⁵⁾		D			
Common Stock 03/10/				2021						4,147	A		\$0.00	4,147 ⁽⁶⁾		D				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execution Security or Exercise (Month/Day/Year) if any			on Date, Transact Code (In			tion of		6. Date I Expirati (Month/I	on Dat		7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		D S (I	Price of erivative ecurity nstr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	y Di). wnership orm: irect (D) r Indirect) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Amo or Num of Share	ber						

Explanation of Responses:

- 1. Effective as of 1/5/2017, the Issuer effected a 30-for-1 reverse split of its common stock. The number of shares reflects the reverse stock split.
- 2. Award of restricted stock granted on 4/21/2017 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan that fully vests three years after the date of grant.
- 3. Award of restricted stock granted on 2/27/2018 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan that fully vests three years after the date of grant.
- 4. Award of restricted stock granted on 3/5/2019 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan that fully vests three years after the date of grant.
- 5. Award of restricted stock granted on 3/12/2020 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan that fully vests three years after the date of grant.
- 6. Award of restricted stock granted on 3/10/2021 pursuant to the AquaBounty Technologies, Inc. 2016 Equity Incentive Plan that fully vests three years after the date of grant.

Remarks:

/s/ David A. Frank, Name: David A. Frank, attorney-in-

03/11/2021

fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.